



SVKM's
PRAVIN GANDHI COLLEGE OF LAW
IN ASSOCIATION WITH



BOMBAY STOCK EXCHANGE INSTITUTE LIMITED

OFFERS

CERTIFICATE COURSE IN SECURITIES LAW

ABOUT THE COURSE

Compliance of law is an integral part of securities market. Laws pertaining to securities market need to be strongly adhered to in the interest of the investors. Application of securities Law is not only the purview of legal department but at various other levels. Hence knowledge about provision of related legislations, their compliances, due diligence review, documentation, contracts pertaining to securities market is pivotal for every person interested in the securities market or corporate sector. The course endeavours to provide conceptual clarity about the securities market, hone analytical skills of related laws and developing problem solving skills by focusing on application of laws in various scenarios. The programme is the blend of theoretical and applied knowledge. It's a three month week end course conducted jointly by PGCL (Pravin Gandhi College of law) and BSEIL (Bombay Stock Exchange Institute Limited)

TARGET AUDIENCE

The focus of the course encompasses conceptual clarity and practical application of the laws. The course would be beneficial to:

- Lawyers
- Law Students
- Students interested in capital markets
- Company Secretaries & Chartered Accountants
- Working professional in the related field
- Any other person interested in securities market

ADMISSION FOR THE COURSE

- Download the application form from www.pgcl.ac.in or collect it from the college office
- Submit the duly completed form to the college office
- Check the list of eligible candidates for Personal Interview on the college website or college notice board
- List of selected candidates after personal interview will be uploaded on the website
- Selected candidates to pay course fee within stipulated time

KEY FEATURES

- Stress on conceptual clarity
- Focus on practical application of related legislations
- Discussion on contemporary case studies
- Experienced faculty from the industry
- Joint certification by PGCL and BSEIL
- Week end Programme, classes on Friday & Saturday evenings and Sunday mornings
- Total duration of the programme – 100 hours spread weekends in three months.
- Lectures to be conducted at PGCL campus, 8th Floor, Mithibai College building, Vile Parle West

COURSE OUTLINE

- Companies Act, 2013
(Complete Act with Rules and Regulations)
- Securities Control(Regulation) Act 1956
- Securities and Exchange Board of India Act 1992
- SEBI (Issue of Capital and Disclosure Requirements Regulations)
- SEBI (Substantial Acquisition of Shares and Takeover Regulations)
- SEBI (Prohibition of Insider Regulations)
- Foreign Exchange Management Act, 1999
(Provisions/ Regulations relating to :
 - a) Foreign Direct Investment and Portfolio Investments
 - b) Imports and Exports
 - c) Overseas Investment by Indian Entities
- Salient features of Prevention of Money Laundering Act, 2002
- Case studies and Mock Court sessions

EXAMINATION

Examination for certification will be conducted in two phases. Phase I examination will be conducted approximately after completion of 50 hours and Phase II at the end of the programme. Each phase would comprise of group project and Individual Case study presentation & written examination.

Phase I – 200 marks

Group Project – 100 marks

Individual Case Study Presentation – 100 marks

Written Examination - 100 marks

Phase II – 200 marks

Group Project – 100 marks

Individual Case Study Presentation – 100 marks

Written Examination - 100 marks

Evaluation & Examination would be conducted by BSE Institute Limited at Pravin Gandhi College of Law.

A candidate shall be declared as to have passed the examination only if he or she secures minimum 50 % marks in the aggregate of the examinations conducted in each the Phase.

FEES

The total fees for the certificate course in Securities Law is Rs. 25,000/- plus service tax. The fees is payable by D.D (demand draft) drawn in favour of Pravin Gandhi College of Law. The fees is non refundable and admission once taken will not be cancelled.

GENERAL RULES

- Minimum 80% attendance is compulsory at all sessions including field visits.
- Smoking is strictly prohibited on college premises.
- At all times students must treat with care college property and assist in keeping the college premises neat and clean. Any damage done to college property by a student will have to be made good by the student.
- Students should, in their own interest, read all notices placed on notice boards on the premises from time to time.

FOR ANY QUERIES PLEASE CONTACT

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ADDRESS FOR CORRESPONDENCE

Course Co-ordinator
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