



CERTIFICATE COURSE IN SECURITIES AND CORPORATE LAW
February – March 2020

COURSE OUTLINE	
Module 1	1) Companies Act a) Types of Companies b) Incorporation of Companies c) Issue of Capital d) Management & Administration e) Investigation into affairs of the company. f) NCLT Jurisdiction
Module 2	2) Capital Market Operations a) Intermediaries & their role in Capital Market Operations b) Derivatives c) Stock Market Operations d) Types of instruments traded on Capital Market e) Commodity Markets
Module 3	3) Capital Market Laws a) Securities Contract Regulation Act b) SEBI Act <ul style="list-style-type: none">• Constitution of SEBI• Powers of SEBI• Penalties for various defaults• Adjudication & Appeals c) SEBI Regulations <ul style="list-style-type: none">• Insider Trading• Fraudulent & Manipulative practices
Module 4	4) Financial Statements a) Understanding Financial Statements b) Analysis of Financial Statements – Ratio Analysis c) Valuation Principles

Module 5	5) Mergers & Acquisitions a) Concept & Objective of Mergers & Acquisitions b) Deal Structuring c) Private Equity & Venture Capital Funding d) Regulatory provisions of M&A e) Takeover Code
Module 6	Resolution of Stressed Assets a) Insolvency and Bankruptcy Code b) SARFESI
Module 7	Financial & Economic Crimes a) Prevention of Anti Money Laundering b) KYC norms
Module 8	Issue of Capital a) IPO Process b) Private Placement c) ICODR
Module 9	Foreign Regulations in Capital Markets a) FDI Regulations b) Foreign Portfolio Investments
Module 10	Taxation in Capital Markets